



FINANCIAL TECHNOLOGIES FORUM LLC

4th Annual OpRisk Conference

Bayards, New York, NY

March 16, 2010

9:00 Chairman Opening Remarks

Dan McKinney, Partner, Financial Services, Ernst & Young

9:15 Keynote Address: Basel III in the U.S. – Is it to be or Not to be?

Preston Thompson, Assistant Vice President, Federal Reserve Bank of Boston

9:45 Operational Risk Lessons Learned in 2009 and What to Expect in the Year Ahead

Moderator:

Philippa Girling, Of Counsel, Garrity, Graham, Murphy, Garofalo & Finn P.C.

Panelists:

Cindy Ma, Managing Director, Houlihan Lockey

Jack Gomez, Head of Operational & Fiduciary Risk Management, BNY Mellon

Nik Takmopoulos, Director of Operations, Plural Investments, LLC

10:30 *Networking Break with the Exhibitors*

10:45 Best Practices and Practical Approaches Towards the Convergence and Integration of Enterprise Risk Management, Compliance and Governance Solutions

Ali Samad-Khan, President, Stamford Risk Analytics

11:30 Counterparty Risk: Challenges, Initiatives and Managing Cross-Asset Exposure

Kevin McPartland, Senior Analyst, Tabb Group

12:00 Identifying KRIs for Mitigating Risk and How to Combine Them with Other ORM Processes

Moderator:

Joe Anastasio, Partner, Capco

Panelists:

Michelle Evaul, BlackRock

Raj Mittal, Director, Citi

Patrick McDermott, Senior Director, Freddie Mac

Edward Talisse, Morgan Stanley

12:30 *Lunch Break with the Exhibitors*



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1:30 Enterprise Risk Management: Obstacles and Benefits

Moderator:

Paul Zubulake, Senior Analyst, Aite Group

Panelists:

Kate Leibfried, Operational Risk Manager, Citi

Anthony Scianna, Executive Vice President, SunGard

Ilene Harker, Head of Enterprise Risk, Western Asset Management

2:15 Operational Risk Management Challenges Confronting the Buy-Side

Moderator:

Holly Miller, Partner, Stone House Consulting, LLC

Panelists:

Amy Gilfenbaum, Senior Vice President, Operational Risk Manager, Neuberger Berman

Maureen McDonagh, Operational Risk Manager, GE Asset Management

Etsuko Fuseya Jennings, Head of Operational Risk, Morgan Stanley Investment Management

3:00 *Networking Break with the Exhibitors*

3:15 Conducting Fraud Assessments and Applying New and Innovative Measures for Preventing Sophisticated Fraud Scenarios

Moderator:

Mike Brodsky, Senior Manager,

Deloitte Financial Advisory Services LLP

Panelists:

David MacNair, Director, Barclays Capital

Jon Holland, Executive Director, Morgan Stanley

Michael Gandara, Executive Director, JP Morgan Chase

4:00 Using Internal and External Loss Data to Effectively Measure Operational Risk

Patrick McDermott, Senior Director, FreddieMac

4:30 *Conference Adjourns*

For further information please see our website at www.ftfnews.com

Or email Sarah.Hathaway@ftfnews.com